# <span id="page-0-0"></span>Operator algebras on locally compact abelian groups

Raffael Hagger



**Kiel University** Christian-Albrechts-Universität zu Kiel

### Workshop on Quantum Harmonic Analysis, August 5, 2024

Joint work with Robert Fulsche.

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$$
A: L^2(G) \to L^2(G), \quad (Af)(x) := \int_G k(x, y) f(y) dy.
$$

Questions:

- When is this integral operator compact?
- When is this integral operator Fredholm?
- What is the essential spectrum of  $A$ ?

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 $G:=\mathbb{Z}$ , i.e.  $L^2(G)=\ell^2(\mathbb{Z})$  $A\colon \ell^2(\mathbb{Z}) \to \ell^2(\mathbb{Z})$  can be described by

$$
(Af)_j = \sum_{k \in \mathbb{Z}} A_{jk} f_k,
$$

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that is,

$$
A = \left(\begin{array}{cccccc}\n\ddots & \vdots & \vdots & \vdots & \vdots \\
\cdots & A_{-1-1} & A_{-10} & A_{-11} & \cdots \\
\cdots & A_{0-1} & A_{00} & A_{01} & \cdots \\
\cdots & A_{1-1} & A_{10} & A_{11} & \cdots \\
\vdots & \vdots & \vdots & \vdots & \ddots\n\end{array}\right)
$$

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### $\bullet$  band operators ( $BO(\mathbb{Z})$ ): finitely many non-zero diagonals

- band-dominated operators (BDO( $\mathbb{Z}$ )): closure of BO( $\mathbb{Z}$ ) w.r.t.  $\left\Vert \cdot\right\Vert _{\mathcal{L}\left( \ell^{2}\left( \mathbb{Z}\right) \right) }$
- $\mathrm{BDO}(\mathbb{Z})$  is a  $C^*$ -algebra that contains all compact operators



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$$
A = \begin{pmatrix}\n\vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
\cdots & A_{-2-2} & A_{-2-1} & A_{-20} & A_{-21} & A_{-22} & \cdots \\
\cdots & A_{-1-2} & A_{-1-1} & A_{-10} & A_{-11} & A_{-12} & \cdots \\
\cdots & A_{0-2} & A_{0-1} & A_{00} & A_{01} & A_{02} & \cdots \\
\cdots & A_{1-2} & A_{1-1} & A_{10} & A_{11} & A_{12} & \cdots \\
\cdots & A_{2-2} & A_{2-1} & A_{20} & A_{21} & A_{22} & \cdots \\
\vdots & \vdots & \vdots & \vdots & \vdots & \ddots\n\end{pmatrix}
$$

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$$
A + K = \begin{pmatrix} \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \dots & A_{-2-2} & A_{-2-1} & A_{-20} & A_{-21} & A_{-22} & \dots \\ \dots & A_{-1-2} & A_{-1-1} & A_{-10} & A_{-12} & \dots \\ \dots & A_{0-2} & A_{0-1} & A_{00} & A_{01} & A_{02} & \dots \\ \dots & A_{1-2} & A_{1-1} & A_{10} & A_{11} & A_{12} & \dots \\ \dots & A_{2-2} & A_{2-1} & A_{20} & A_{21} & A_{22} & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}
$$

 $A \Longleftrightarrow A + K$  compact and  $sp_{\text{ess}}(A) = sp_{\text{ess}}(A + K)$ 

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 $A\cong A\rightarrow A\cong A$ 

$$
A + K = \begin{pmatrix} \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \dots & A_{-2-2} & A_{-2-1} & A_{-20} & A_{-21} & A_{-22} & \dots \\ \dots & A_{-1-2} & A_{-1-1} & A_{-10} & A_{-12} & \dots \\ \dots & A_{0-2} & A_{0-1} & A_{00} & A_{01} & A_{02} & \dots \\ \dots & A_{1-2} & A_{1-1} & A_{20} & A_{21} & A_{22} & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{pmatrix}
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. A−2−<sup>2</sup> A−2−<sup>1</sup> A−<sup>20</sup> A−<sup>21</sup> A−<sup>22</sup> . . . . . . A−1−<sup>2</sup> A−<sup>12</sup> . . . A + K = . . . A0−<sup>2</sup> A<sup>02</sup> . . . . . . A1−<sup>2</sup> A<sup>12</sup> . . . . . . A2−<sup>2</sup> A2−<sup>1</sup> A<sup>20</sup> A<sup>21</sup> A<sup>22</sup> . 

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A + K = \begin{pmatrix} \ddots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \dots & A_{-2-2} & A_{-2-1} & A_{-20} & A_{-21} & A_{-22} & \dots \\ \dots & A_{-1-2} & & & & A_{-12} & \dots \\ \dots & A_{1-2} & & & & A_{20} & A_{21} & A_{22} & \dots \\ & & & & & \vdots & \vdots & \vdots & \vdots \end{pmatrix}
$$

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A \in \text{BDO}(\mathbb{Z})
$$

$$
A = \begin{pmatrix}\n\ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\
\cdots & A_{-2-2} & A_{-2-1} & A_{-20} & A_{-21} & A_{-22} & \cdots \\
\cdots & A_{-1-2} & A_{-1-1} & A_{-10} & A_{-11} & A_{-12} & \cdots \\
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\cdots & A_{2-2} & A_{2-1} & A_{20} & A_{21} & A_{22} & \cdots \\
\vdots & \vdots & \vdots & \vdots & \vdots & \ddots\n\end{pmatrix}
$$

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$$
V^{-1}AV = \begin{pmatrix} \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \dots & A_{-1-1} & A_{-10} & A_{-11} & A_{-12} & A_{-13} & \dots \\ \dots & A_{0-1} & A_{00} & A_{01} & A_{02} & A_{03} & \dots \\ \dots & A_{1-1} & A_{10} & A_{11} & A_{12} & A_{13} & \dots \\ \dots & A_{2-1} & A_{20} & A_{21} & A_{22} & A_{23} & \dots \\ \dots & A_{3-1} & A_{30} & A_{31} & A_{32} & A_{33} & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}
$$

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$$
V^{-2}AV^2 = \begin{pmatrix}\n\vdots & \vdots & \vdots & \vdots & \vdots \\
\cdots & A_{00} & A_{01} & A_{02} & A_{03} & A_{04} & \cdots \\
\cdots & A_{10} & A_{11} & A_{12} & A_{13} & A_{14} & \cdots \\
\cdots & A_{20} & A_{21} & A_{22} & A_{23} & A_{24} & \cdots \\
\cdots & A_{30} & A_{31} & A_{32} & A_{33} & A_{34} & \cdots \\
\cdots & A_{40} & A_{41} & A_{42} & A_{43} & A_{44} & \cdots \\
\vdots & \vdots & \vdots & \vdots & \vdots & \ddots\n\end{pmatrix}
$$

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$$
V^{-5}AV^{5} = \begin{pmatrix}\n\vdots & \vdots & \vdots & \vdots & \vdots \\
\ldots & A_{33} & A_{34} & A_{35} & A_{36} & A_{37} & \ldots \\
\ldots & A_{43} & A_{44} & A_{45} & A_{46} & A_{47} & \ldots \\
\ldots & A_{53} & A_{54} & A_{55} & A_{56} & A_{57} & \ldots \\
\ldots & A_{63} & A_{64} & A_{65} & A_{66} & A_{67} & \ldots \\
\ldots & A_{73} & A_{74} & A_{75} & A_{76} & A_{77} & \ldots \\
\vdots & \vdots & \vdots & \vdots & \vdots\n\end{pmatrix}
$$

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$$
B = \begin{pmatrix}\n\vdots & \vdots & \vdots & \vdots & \vdots \\
\ldots & B_{-2-2} & B_{-2-1} & B_{-20} & B_{-21} & B_{-22} \\
\ldots & B_{-1-2} & B_{-1-1} & B_{-10} & B_{-11} & B_{-12} \\
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\ldots & B_{2-2} & B_{2-1} & B_{20} & B_{21} & B_{22} & \ldots \\
\vdots & \vdots & \vdots & \vdots & \vdots & \vdots\n\end{pmatrix}
$$

 $A_h f := B f = \lim_{n \to \infty} V^{-h_n}AV^{h_n}f$  (if it exists for all  $f \in \ell^2({\mathbb Z})$ )

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 $\alpha.(A): \mathbb{Z} \rightarrow \mathcal{L}(\ell^2(\mathbb{Z})), \, \alpha_n(A):=V^{-n}AV^n$  can be extended to a strongly continuous map on βZ.

 $A\colon \ell^2(\mathbb{Z}) \to \ell^2(\mathbb{Z})$  is compact if and only if  $A \in \mathrm{BDO}(\mathbb{Z})$  and  $\alpha_r(A) = 0$  for all  $x \in \beta \mathbb{Z} \setminus \mathbb{Z}$ .

*Let* A ∈ BDO(Z)*.* A *is Fredholm if and only all of its limit operators*  $\alpha_x(A)$ ,  $x \in \beta \mathbb{Z} \setminus \mathbb{Z}$ , are invertible. Moreover,

$$
\mathrm{sp}_{\mathrm{ess}}(A) = \bigcup_{x \in \beta \mathbb{Z} \setminus \mathbb{Z}} \mathrm{sp}(\alpha_x(A)).
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### Theorem

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### Theorem

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### Theorem (Lindner/Seidel 2014, Lange/Rabinovich 1985)

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## • Problem 1:  $\Lambda$  is not necessarily compact if  $k$  has compact support.

• Problem 2: Even for multiplication operators, limit operators may not exist, e.g.,

$$
f(x) := (-1)^{\lfloor x \rfloor}.
$$

Then  $(V_{-\sqrt{2}n} M_f V_{\sqrt{2}n})_{n\in \mathbb{N}}$  does not have a strongly convergent subsequence.

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## $L^2(\mathbb{R}) \cong \ell^2(\mathbb{Z}, L^2([0,1)))$

 $\Rightarrow A \in \text{BDO}(\mathbb{R})$  is invertible modulo  $\mathcal{K}(L^2(\mathbb{R}), \mathcal{P})$  if and only if all limit operators of A are invertible.<sup>\*</sup>

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 $\implies A \in \text{BDO}(\mathbb{R})$  is invertible modulo  $\mathcal{K}(L^2(\mathbb{R}), \mathcal{P})$  if and only if all limit operators of A are invertible.<sup>∗</sup>

Let  $\Xi$  be a locally compact abelian group and

$$
U_{\cdot} : \Xi \to \mathcal{L}(\mathcal{H}), \quad \xi \mapsto U_{\xi}
$$

a strongly continuous, unitary, projective representation, i.e.,

$$
U_{\xi}U_{\rho} = m(\xi, \rho)U_{\xi\rho}.
$$

For  $\Xi := G \times \widehat{G}$  we may choose  $\mathcal{H} = L^2(G)$  and

$$
U_{g,\chi}f(h) = \chi(h)f(g^{-1}h).
$$

For example, if  $\Xi = \mathbb{Z} \times \mathbb{T}$ , then

$$
U_{n,t}f(m) = t^m f(m - n)
$$

for  $f \in \ell^2(\mathbb{Z}), m, n \in \mathbb{Z}, t \in \mathbb{T}$ .

 $\sqrt{m}$   $\rightarrow$   $\sqrt{m}$   $\rightarrow$   $\sqrt{m}$ 

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# Let  $\mathcal{C}_1(G):=\big\{A\in\mathcal{L}(L^2(G)):z\mapsto\alpha_z(A) \text{ continuous}\big\}.$

*Let*  $A \in C_1(G)$ *. Then the map* 

 $\alpha: G \times \widehat{G} \to \mathcal{L}(L^2(G)), \quad \alpha_z(A) := U_z A U_z^*$ 

*extends to a strongly continuous map on* M*.*

Here M denotes the maximal ideal space of BUC( $G \times \widehat{G}$ ), which was defined in Robert's talk.

Let  $A \in C_1(G)$ . A *is Fredholm if and only if*  $\alpha_z(A)$  *is invertible for every*  $z \in M \setminus (G \times G)$  *(and the inverses are uniformly bdd).* 

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### **Proposition**

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### Theorem (Fulsche/H. '24)

Let  $A \in C_1(G)$ . A *is Fredholm if and only if*  $\alpha_z(A)$  *is invertible for every*  $z \in \mathcal{M} \setminus (G \times \widehat{G})$  *(and the inverses are uniformly bdd).* 

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# Theorem (Lindner/Seidel 2014, Lange/Rabinovich 1985)

*Let* A ∈ BDO(Z)*.* A *is Fredholm if and only all of its limit operators*  $\alpha_x(A)$ ,  $x \in \beta \mathbb{Z} \setminus \mathbb{Z}$ , are invertible.

Observations:

- $C_1(\mathbb{Z}) = \text{BDO}(\mathbb{Z})$  (well known, see e.g. the book by Rabinovich, Roch, Silbermann)
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Now consider  $G := \mathbb{R}$  and recall  $Af(x) := \int_{\mathbb{R}} k(x, y) f(y) \, dy$ .

• band operators (BO(R)):  $k(x, y) = 0$  for  $|x - y| > \omega$ 

- band-dominated operators (BDO( $\mathbb{R}$ )): closure of BO( $\mathbb{R}$ ) w.r.t.  $\left\|\cdot\right\|_{\mathcal{L}(L^2(\mathbb{R}))}$
- $\text{BDO}(\mathbb{R})$  is a  $C^*$ -algebra that contains all compact operators



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 $supp(Af) \subseteq HK$ .

equivalently, if  $Af(x) := \int_G k(x,y) f(y) \, \mathrm{d}y$ , then

 $\text{supp } k \subseteq \{ (x, x + y) : x \in G, y \in K \}.$ 

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- Problem 1: A is not necessarily compact if k has compact support.
- Problem 2: Even for multiplication operators, limit operators may not exist, e.g.,

$$
f(x) := (-1)^{\lfloor x \rfloor}.
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Then  $(V_{-\sqrt{2}n} M_f V_{\sqrt{2}n})_{n\in \mathbb{N}}$  does not have a strongly convergent subsequence.

• Consequence:  $BDO(G) \neq C_1(G)$  unless G is discrete

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## **Corollary**

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# *Let* G *be an lca group. Then*  $BDO(G) = C<sub>0,1</sub>(G)$ *.*

# Sketch of the proof:

We know that

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\mathcal{C}_1(G) = L^1(G \times \widehat{G}) * \mathcal{L}(L^2(G)).
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Direct computation shows

$$
((\delta_0 \otimes f) * A)\varphi(x) = \int_G \hat{f}(y-x)k_A(x,y)\varphi(y) \,dy
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for  $f \in L^1(\widehat{G}), \varphi \in L^2(G), \, x \in G.$  $\Rightarrow \mathcal{C}_{0,1}(G) \subseteq \text{BDO}(G).$ 

Conversely, if  $A \in \text{BO}_K(G)$ , choose  $f \in L^1(\widehat{G})$  with  $\widehat{f} = 1$  on K. Then  $A = (\delta_0 \otimes f) * A$ , hence  $A \in C_{0,1}(G)$ .

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*For all*  $K \subseteq G$  *compact and*  $c \in (0,1)$  *there is a compact subset*  $H \subseteq G$  *such that for all*  $T \in BO_K(G)$  *we have* 

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lower norm: 
$$
\nu(A) := \inf_{\|\varphi\|=1} \|A\varphi\| = \|A^{-1}\|^{-1}
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Let  $A \in \mathcal{C}_1(G)$ ,  $\Xi := G \times \widehat{G}$ *. Then there exists*  $y \in \partial \Xi$  *such that* 

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### Proposition

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sp_{\text{ess}}(A) = \bigcup_{x \in \partial \Xi} sp(\alpha_x(A)).
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*For*  $A \in C_1(G)$  *we have* 

$$
||A + \mathcal{K}(L^2(G))|| = \max_{x \in \partial E} ||\alpha_x(A)||.
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## Outlook:

• phase spaces  $\Xi$  that are not of the form  $G\times \widehat{G}$ , only assume that the representation

$$
U_{\cdot} : \Xi \to \mathcal{L}(\mathcal{H}), \quad \xi \to U_{\xi}
$$

## is integrable

for fixed window  $\varphi_0\in {\mathcal H}$  with  $\xi\mapsto \langle \varphi_0, U_\xi\varphi_0\rangle\in L^1(\Xi)$ consider the wavelet transform

$$
\mathcal{W}_{\varphi_0} \colon \mathcal{H} \to L^2(\Xi), \quad \mathcal{W}_{\varphi_0} f(\xi) := \langle f, U_{\xi} \varphi_0 \rangle
$$

- consider operators on  $\mathcal{W}_{\varphi_0}(\mathcal{H})$  ( $\rightarrow$  Fock spaces)
- allows to consider operators on coorbit/modulation spaces

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## Outlook:

• phase spaces  $\Xi$  that are not of the form  $G\times \widehat{G}$ , only assume that the representation

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U_{\cdot} : \Xi \to \mathcal{L}(\mathcal{H}), \quad \xi \to U_{\xi}
$$

is integrable

for fixed window  $\varphi_0\in {\cal H}$  with  $\xi\mapsto \langle \varphi_0, U_\xi\varphi_0\rangle\in L^1(\Xi)$ consider the wavelet transform

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- consider operators on  $\mathcal{W}_{\varphi_0}(\mathcal{H}) \ (\to$  Fock spaces)
- allows to consider operators on coorbit/modulation spaces  $(p \neq 2)$

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Define  $V_{\xi} := \mathcal{W}_{\varphi_0} U_{\xi} \mathcal{W}_{\varphi_0}^*$ ,

$$
\alpha_{\xi}(A) := V_{\xi} A V_{\xi}^*
$$

for  $A\in \mathcal{L}(\mathcal{W}_{\varphi_0}(\mathcal{H}))$ , and

 $\mathcal{C}_1(\varphi_0):=\{A\in\mathcal{L}(\mathcal{W}_{\varphi_0}(\mathcal{H})):\xi\mapsto\alpha_\xi(A) \text{ continuous}\}$  .

## Theorem (Fulsche/H. '24)

*Let* Ξ *be an lca group and denote the orthogonal projection of*  $L^2(\Xi)$  onto  $\mathcal{W}_{\varphi_0}(\mathcal{H})$  by  $P_{\varphi_0}.$  Then

 $C_1(\varphi_0) = P_{\varphi_0} \, \text{BDO}(\Xi) P_{\varphi_0}.$ 

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